

## § 3020.11

## 39 CFR Ch. III (7–1–13 Edition)

shall specify the list of market dominant products and include the explanatory information specified in § 3020.13(a). Part Two shall specify the list of competitive products and include the explanatory information specified in § 3020.13(b).

### § 3020.11 Initial Mail Classification Schedule.

The initial Mail Classification Schedule shall specify the market dominant and competitive product lists. The Mail Classification Schedule product lists shall reflect the market dominant and competitive product lists identified in 39 U.S.C. 3621(a) and 39 U.S.C. 3631(a) respectively. The explanatory detailed descriptive information specified in § 3020.13(a) and § 3020.13(b) shall be incorporated by subsequent rule-making.

### § 3020.12 Publication of the Mail Classification Schedule.

(a) The Mail Classification Schedule established in accordance with subchapters I, II, and III of chapter 36 of title 39 of the United States Code and this subpart shall appear as Appendix A to this subpart.

(b) *Availability of the Mail Classification Schedule.* Copies of the Mail Classification Schedule, both current and previous issues, are available during regular business hours for reference and public inspection at the Postal Regulatory Commission's Reading Room located at 901 New York Avenue, NW., Suite 200, Washington, DC 20268–0001. The Mail Classification Schedule, both current and previous issues, also is available on the Internet at <http://www.prc.gov>.

### § 3020.13 Contents of the Mail Classification Schedule.

The Mail Classification Schedule shall provide:

- (a) The list of market dominant products, including:
  - (1) The class of each market dominant product;
  - (2) The description of each market dominant product;
  - (3) A schedule listing for each market dominant product the current rates and fees;

(4) Where applicable, the identification of a product as a special classification within the meaning of 39 U.S.C. 3622(c)(10) for market dominant products;

(5) Where applicable, the identification of a product as an experimental product undergoing a market test; and

(6) Where applicable, the identification of a product as a non-postal product.

(b) The list of competitive products, including:

(1) The description of each competitive product;

(2) A schedule listing for each competitive product of general applicability the current rates and fees;

(3) The identification of each product not of general applicability within the meaning of 39 U.S.C. 3632(b)(3) for competitive products;

(4) Where applicable, the identification of a product as an experimental product undergoing a market test; and

(5) Where applicable, the identification of a product as a non-postal product.

### § 3020.14 Notice of change.

Whenever the Postal Regulatory Commission modifies the list of products in the market dominant category or the competitive category, it shall cause notice of such change to be published in the FEDERAL REGISTER. The notice shall:

(a) Include the current list of market dominant products and the current list of competitive products appearing in the Mail Classification Schedule;

(b) Indicate how and when the previous product lists have been modified; and

(c) Describe other changes to the Mail Classification Schedule as necessary.

#### APPENDIX A TO SUBPART A OF PART 3020—MAIL CLASSIFICATION SCHEDULE

##### Part A—Market Dominant Products

##### 1000 Market Dominant Product List

##### First-Class Mail

Single-Piece Letters/Postcards

Bulk Letters/Postcards

Flats

Parcels

Outbound Single-Piece First-Class Mail International

## Postal Regulatory Commission

## Pt. 3020, Subpt. A, App. A

Inbound Single-Piece First-Class Mail International	High Density and Saturation Flats/Parcels
Standard Mail (Regular and Nonprofit)	Carrier Route
High Density and Saturation Letters	Letters
High Density and Saturation Flats/Parcels	[Reserved for Product Description]
Carrier Route	Flats
Letters	Not Flat-Machinables (NFMs)/Parcels
Flats	Periodicals
Not Flat-Machinables (NFMs)/Parcels	Within County Periodicals
Periodicals	Outside County Periodicals
Within County Periodicals	Package Services
Outside County Periodicals	Single-Piece Parcel Post
Package Services	Inbound Surface Parcel Post (at UPU rates)
Single-Piece Parcel Post	Bound Printed Matter Flats
Inbound Surface Parcel Post (at UPU rates)	Bound Printed Matter Parcels
Bound Printed Matter Flats	Media Mail/Library Mail
Bound Printed Matter Parcels	Special Services
Media Mail/Library Mail	Ancillary Services
Special Services	Address Correction Service
Ancillary Services	Applications and Mailing Permits
International Ancillary Services	Business Reply Mail
Address Management Services	Bulk Parcel Return Service
Caller Service	Certified Mail
Change-of-Address Credit Card Authentication	Certificate of Mailing
Confirm	Collect on Delivery
Customized Postage	Delivery Confirmation
International Reply Coupon Service	Insurance
International Business Reply Mail Service	Merchandise Return Service
Money Orders	Parcel Airlift (PAL)
Post Office Box Service	Registered Mail
Stamp Fulfillment Services	Return Receipt
Negotiated Service Agreements	Return Receipt for Merchandise
HSBC North America Holdings Inc. Negotiated Service Agreement	Restricted Delivery
Bookspan Negotiated Service Agreement	Shipper-Paid Forwarding
Bank of America Corporation Negotiated Service Agreement	Signature Confirmation
The Bradford Group Negotiated Service Agreement	Special Handling
Inbound International	Stamped Envelopes
Canada Post—United States Postal Service	Stamped Cards
Contractual Bilateral Agreement for Inbound Market Dominant Services (MC2010-12 and R2010-2)	Premium Stamped Stationery
The Strategic Bilateral Agreement Between United States Postal Service and Koninklijke TNT Post BV and TNT Postpakket-service Benelux BV, collectively “TNT Post” and China Post Group—United States Postal Service Letter Post Bilateral Agreement (MC2010-35, R2010-5 and R2010-6)	Premium Stamped Cards
Market Dominant Product Descriptions	International Ancillary Services
First-Class Mail	International Certificate of Mailing
Single-Piece Letters/Postcards	International Registered Mail
Bulk Letters/Postcards	International Return Receipt
Flats	International Restricted Delivery
Parcels	Address List Services
Outbound Single-Piece First-Class Mail International	Caller Service
Inbound Single-Piece First-Class Mail International	Change-of-Address Credit Card Authentication
Standard Mail (Regular and Nonprofit)	Confirm
High Density and Saturation Letters	International Reply Coupon Service
	International Business Reply Mail Service
	Money Orders
	Post Office Box Service
	[Reserved for Product Description]
	Negotiated Service Agreements
	HSBC North America Holdings Inc. Negotiated Service Agreement
	Bookspan Negotiated Service Agreement
	Bank of America Corporation Negotiated Service Agreement
	The Bradford Group Negotiated Service Agreement

**Pt. 3020, Subpt. A, App. A**

**39 CFR Ch. III (7–1–13 Edition)**

Part B—Competitive Products

2000 Competitive Product List

Express Mail  
Express Mail  
Outbound International Expedited Services  
Inbound International Expedited Services  
Inbound International Expedited Services 1  
(CP2008–7)  
Inbound International Expedited Services 2  
(MC2009–10 and CP2009–12)  
Inbound International Expedited Services 3  
(MC2010–13 and CP2010–12)  
Inbound International Expedited Services 4  
(MC2010–37 and CP2010–126)  
Priority Mail  
Priority Mail  
Outbound Priority Mail International  
Inbound Air Parcel Post (at non-UPU  
rates)  
Royal Mail Group Inbound Air Parcel Post  
Agreement  
Inbound Air Parcel Post (at UPU rates)  
Parcel Return Service  
Parcel Select  
International  
International Priority Airlift (IPA)  
International Surface Airlift (ISAL)  
International Direct Sacks—M-Bags  
Global Customized Shipping Services  
Inbound Surface Parcel Post (at non-UPU  
rates)  
Canada Post—United States Postal Service  
Contractual Bilateral Agreement for In-  
bound Competitive Services (MC2010–14  
and CP2010–13—Inbound Surface Parcel  
Post at Non-UPU Rates and Xpresspost-  
USA)  
International Money Transfer Service—  
Outbound  
International Money Transfer Service—In-  
bound  
International Ancillary Services  
Outbound International Expedited Services  
Inbound International Expedited Services  
Inbound International Expedited Services 1  
(CP2008–7)  
Inbound International Expedited Services 2  
(MC2009–10 and CP2009–12)  
Inbound International Expedited Services 3  
(MC2010–13 and CP2010–12)  
Inbound International Expedited Services 4  
(MC2010–37 and CP2010–126)  
Priority Mail  
Priority Mail  
Outbound Priority Mail International  
Inbound Air Parcel Post (at non-UPU  
rates)  
Royal Mail Group Inbound Air Parcel Post  
Agreement  
Inbound Air Parcel Post (at UPU rates)  
Parcel Return Service  
Parcel Select  
International  
International Priority Airlift (IPA)  
International Surface Airlift (ISAL)  
International Direct Sacks—M-Bags

Global Customized Shipping Services  
Inbound Surface Parcel Post (at non-UPU  
rates)  
Canada Post—United States Postal Service  
Contractual Bilateral Agreement for In-  
bound Competitive Services (MC2010–14  
and CP2010–13—Inbound Surface Parcel  
Post at Non-UPU Rates and Xpresspost-  
USA)  
International Money Transfer Service—  
Outbound  
International Money Transfer Service—In-  
bound  
International Ancillary Services  
Special Services  
Address Enhancement Service  
Greeting Cards and Stationery  
Premium Forwarding Service  
Shipping and Mailing Supplies  
Negotiated Service Agreements  
Domestic  
Express Mail Contract 1 (MC2008–5)  
Express Mail Contract 2 (MC2009–3 and  
CP2009–4)  
Express Mail Contract 3 (MC2009–15 and  
CP2009–21)  
Express Mail Contract 4 (MC2009–34 and  
CP2009–45)  
Express Mail Contract 5 (MC2010–5 and  
CP2010–5)  
Express Mail Contract 6 (MC2010–6 and  
CP2010–6)  
Express Mail Contract 7 (MC2010–7 and  
CP2010–7)  
Express Mail Contract 8 (MC2010–16 and  
CP2010–16)  
Express Mail Contract 9 (MC2011–1 and  
CP2011–2)  
Express Mail & Priority Mail Contract 1  
(MC2009–6 and CP2009–7)  
Express Mail & Priority Mail Contract 2  
(MC2009–12 and CP2009–14)  
Express Mail & Priority Mail Contract 3  
(MC2009–13 and CP2009–17)  
Express Mail & Priority Mail Contract 4  
(MC2009–17 and CP2009–24)  
Express Mail & Priority Mail Contract 5  
(MC2009–18 and CP2009–25)  
Express Mail & Priority Mail Contract 6  
(MC2009–31 and CP2009–42)  
Express Mail & Priority Mail Contract 7  
(MC2009–32 and CP2009–43)  
Express Mail & Priority Mail Contract 8  
(MC2009–33 and CP2009–44)  
Express Mail & Priority Mail Contract 9  
(MC2012–29 and CP2012–38)  
First-Class Package Service Contract 1  
(MC2012–11 and CP2012–19)  
First-Class Package Service Contract 2  
(MC2012–18 and CP2012–24)  
First-Class Package Service Contract 3  
(MC2012–19 and CP2012–25)  
First-Class Package Service Contract 4  
(MC2012–20 and CP2012–26)  
First-Class Package Service Contract 5  
(MC2012–21 and CP2012–27)

## Postal Regulatory Commission

## Pt. 3020, Subpt. A, App. A

First-Class Package Service Contract 6 (MC2012-22 and CP2012-28)  
First-Class Package Service Contract 7 (MC2012-23 and CP2012-29)  
First-Class Package Service Contract 9 (MC2012-28 and CP2012-37)  
Parcel Select Contract 2 (MC2012-16 and CP2012-23)  
Parcel Select & Parcel Return Service Contract 1 (MC2009-11 and CP2009-13)  
Parcel Select & Parcel Return Contract 3 (MC2012-15 and CP2012-22)  
Parcel Select & Parcel Return Service Contract 4 (MC2012-25 and CP2012-33)  
Parcel Return Service Contract 1 (MC2009-1 and CP2009-2)  
Parcel Return Service Contract 2 (MC2011-6 and CP2011-33)  
Parcel Select & Parcel Return Service Contract 2 (MC2009-40 and CP2009-61)  
Priority Mail Contract 1 (MC2008-8 and CP2008-26)  
Priority Mail Contract 2 (MC2009-2 and CP2009-3)  
Priority Mail Contract 3 (MC2009-4 and CP2009-5)  
Priority Mail Contract 4 (MC2009-5 and CP2009-6)  
Priority Mail Contract 5 (MC2009-21 and CP2009-26)  
Priority Mail Contract 6 (MC2009-25 and CP2009-30)  
Priority Mail Contract 7 (MC2009-25 and CP2009-31)  
Priority Mail Contract 8 (MC2009-25 and CP2009-32)  
Priority Mail Contract 9 (MC2009-25 and CP2009-33)  
Priority Mail Contract 10 (MC2009-25 and CP2009-34)  
Priority Mail Contract 11 (MC2009-27 and CP2009-37)  
Priority Mail Contract 12 (MC2009-28 and CP2009-38)  
Priority Mail Contract 13 (MC2009-29 and CP2009-39)  
Priority Mail Contract 14 (MC2009-30 and CP2009-40)  
Priority Mail Contract 15 (MC2009-35 and CP2009-54)  
Priority Mail Contract 16 (MC2009-36 and CP2009-55)  
Priority Mail Contract 17 (MC2009-37 and CP2009-56)  
Priority Mail Contract 18 (MC2009-42 and CP2009-63)  
Priority Mail Contract 19 (MC2010-1 and CP2010-1)  
Priority Mail Contract 20 (MC2010-2 and CP2010-2)  
Priority Mail Contract 21 (MC2010-3 and CP2010-3)  
Priority Mail Contract 22 (MC2010-4 and CP2010-4)  
Priority Mail Contract 23 (MC2010-9 and CP2010-9)

Priority Mail Contract 24 (MC2010-15 and CP2010-15)  
Priority Mail Contract 25 (MC2010-30 and CP2010-75)  
Priority Mail Contract 26 (MC2010-31 and CP2010-76)  
Priority Mail Contract 27 (MC2010-32 and CP2010-77)  
Priority Mail Contract 28 (MC2011-2 and CP2011-3)  
Priority Mail Contract 29 (MC2011-3 and CP2011-4)  
Outbound International  
Direct Entry Parcels Contracts  
Direct Entry Parcels 1 (MC2009-26 and CP2009-36)  
Global Direct Contracts (MC2009-9, CP2009-10, and CP2009-11)  
Global Expedited Package Services (GEPS) Contracts  
GEPS 1 (CP2008-5, CP2008-11, CP2008-12, CP2008-13, CP2008-18, CP2008-19, CP2008-20, CP2008-21, CP2008-22, CP2008-23 and CP2008-24)  
Global Expedited Package Services 2 (CP2009-50)  
Global Expedited Package Services 3 (MC2010-28 and CP2010-71)  
Global Expedited Package Services—Non-published Rates 2 (MC2010-29 and CP2011-45)  
Global Expedited Package Services Non-published Rates 3 (MC2012-4 and CP2012-8)  
Global Plus Contracts  
Global Plus 1 (CP2008-8, CP2008-46 and CP2009-47)  
Global Plus 1A (MC2010-26, CP2010-67 and CP2010-68)  
Global Plus 1B (MC2011-7, CP2011-39 and CP2011-40)  
Global Plus 2 (MC2008-7, CP2008-48 and CP2008-49)  
Global Plus 2A (MC2010-27, CP2010-69 and CP2010-70)  
Global Plus 2B (MC2011-8, CP2011-41 and CP2011-42)  
Global Plus 1C (MC2012-6, CP2012-12 and CP2012-13)  
Global Plus 2C (MC2012-5, CP2012-10 and CP2012-11)  
Inbound International  
Inbound Competitive Multi-Service Agreements with Foreign Postal Operators 1 (MC2010-34 and CP2010-95)  
Inbound Direct Entry Contracts with Foreign Postal Administrations  
Inbound Direct Entry Contracts with Foreign Postal Administrations (MC2008-6, CP2008-14 and MC2008-15)  
Inbound Direct Entry Contracts with Foreign Postal Administrations 1 (MC2008-6 and CP2009-62)  
International Business Reply Service Competitive Contract 1 (MC2009-14 and CP2009-20)

## § 3020.30

International Business Reply Service Competitive Contract 2 (MC2010–18, CP2010–21 and CP2010–22)

### Competitive Product Descriptions

#### Express Mail

Express Mail  
Outbound International Expedited Services  
Inbound International Expedited Services  
Priority  
Priority Mail  
Outbound Priority Mail International  
Inbound Air Parcel Post  
Parcel Select  
Parcel Return Service  
International  
International Priority Airlift (IPA)  
International Surface Airlift (ISAL)  
International Direct Sacks—M-Bags  
Global Customized Shipping Services  
International Money Transfer Service  
Inbound Surface Parcel Post (at non-UPU rates)  
International Ancillary Services  
International Certificate of Mailing  
International Registered Mail  
International Return Receipt  
International Restricted Delivery  
International Insurance  
Negotiated Service Agreements  
Domestic  
Outbound International

Part C—Glossary of Terms and Conditions  
[Reserved]

Part D—Country Price Lists for  
International Mail [Reserved]

[77 FR 75378, Dec. 20, 2012]

## **Subpart B—Requests Initiated by the Postal Service To Modify the Product Lists Described Within the Mail Classification Schedule**

### **§ 3020.30 General.**

The Postal Service, by filing a request with the Commission, may propose a modification to the market dominant product list or the competitive product list appearing in the Mail Classification Schedule. For purposes of this part, modification shall be defined as adding a product to a list, removing a product from a list, or moving a product from one list to the other list.

## 39 CFR Ch. III (7–1–13 Edition)

### **§ 3020.31 Contents of a request.**

A request to modify the market dominant product list or the competitive product list shall:

(a) Provide the name, and class if applicable, of each product that is the subject of the request;

(b) Provide a copy of the Governor's decision supporting the request, if any;

(c) Indicate whether the request proposes to add a product to the market dominant list or the competitive list, remove a product from the market dominant list or the competitive list, or transfer a product from the market dominant list to the competitive list or from the competitive list to the market dominant list;

(d) Indicate whether each product that is the subject of the request is:

(1) A special classification within the meaning of 39 U.S.C. 3622(c)(10) for market dominant products;

(2) A product not of general applicability within the meaning of 39 U.S.C. 3632(b)(3) for competitive products; or

(3) A non-postal product.

(e) Provide all supporting justification upon which the Postal Service proposes to rely; and

(f) Include a copy of the applicable sections of the Mail Classification Schedule and the proposed changes therein in legislative format.

### **§ 3020.32 Supporting justification.**

Supporting justification shall be in the form of a statement from one or more knowledgeable Postal Service official(s) who sponsors the request and attests to the accuracy of the information contained within the statement. The justification shall:

(a) Demonstrate why the change is in accordance with the policies and the applicable criteria of chapter 36 of title 39 of the United States Code;

(b) Explain why, as to market dominant products, the change is not inconsistent with each requirement of 39 U.S.C. 3622(d), and that it advances the objectives of 39 U.S.C. 3622(b), taking into account the factors of 39 U.S.C. 3622(c);

(c) Explain why, as to competitive products, the addition, deletion, or transfer will not result in the violation of any of the standards of 39 U.S.C. 3633;